

06-25-01

A

Practitioner's Docket No. COS-01-001 (977-011)

PATENT

## NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on June 22, 2001 under "EXPRESS MAIL" mailing label number EL238635366US.

*Tammy S. Senecal*  
 Tammy S. Senecal

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington D.C. 20231

11000 U.S. PTO  
 09/887804  
 06/22/01

Transmitted herewith for filing is the patent application of:

Inventor 1: Paul T. Schultz  
 Address: 1110 Forest Hill Road  
 Woodland Park, CO 80863

Inventor 2: Robert A. Sartini  
 Address: 8902 Charity Drive  
 Colorado Springs, CO 80920-7350

Title: A SYSTEM AND METHOD FOR MULTI-MODAL AUTHENTICATION  
 USING SPEAKER VERIFICATION

## 1. Papers enclosed

## A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 15 Page(s) of Specification  
13 Page(s) of Claims  
1 Page(s) of Abstract  
7 Sheets of Informal Drawings (Figs. 1-7)

## B. Other Papers Enclosed

- ☒ A combined Declaration and Power of Attorney  
☒ An Assignment Transmittal and Assignment of the invention to  
WorldCom, Inc.

## 2. Small Entity Status

- ☐ A statement that this filing is by a small entity is attached.  
☐ A separate refund request accompanies this paper.  
☐ was filed on \_\_\_\_\_ (original).

## 3. The filing fee has been calculated as shown below:

- A. Filing Fee  
☒ Original Patent Application  
 (37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)

\$ 710.00

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06/22/01  
 106961 U.S. PTO

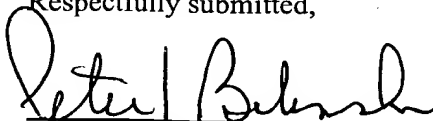
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- B. Fees for Claims ( 68 Claims; 4 Indep.)  
☒ each independent claim in excess of 3  
(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00) \$ 80.00  
☒ each claim in excess of 20  
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00) \$ 864.00  
☐ multiple dependent claim(s)  
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00) \$ .00
- C. Surcharge fees  
☐ late payment of filing fee  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00) \$ 0.00  
and/or  
☐ late filing of original declaration or oath  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)  
  
*NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).* \$ .00
- D. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor  
(37 C.F.R. 1.17(i) and 1.47--\$130.00) \$ .00
- E. ☐ Fee for processing an application filed with a specification in a non-English language  
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00) \$ .00
- F. ☒ Assignment Fee \$ 40.00
- Total Fees Due \$ 1,694.00**

**4. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment**

- ☒ A check in the amount of \$1,694.00 is enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289. A duplicate copy of this sheet is enclosed.  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Peter J. Bilinski  
Reg. No. 35,067

June 22, 2001

Date

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